



Regulatory Notice 24-18

DATE: November 13, 2024

TO: Members and Prospective Members of MEMX LLC (the “Exchange”)

FROM: MEMX Regulation

RE: Notice of Annual Meeting and Nominees for Member Representative Director Positions on the Exchange’s Board of Directors

Pursuant to the Exchange’s Limited Liability Company Agreement (“LLCA”), certain positions on the Board of Directors of the Exchange (the “Board”) may only be held by officers, directors, employees, or agents of the Exchange Members that do not also maintain an ownership interest, directly or indirectly, in the Exchange. The individuals holding these positions on the Board are known as “Member Representative Directors.” Capitalized terms used but not defined herein shall have the meanings given to them in the LLCA.

The Exchange’s annual meeting of shareholders, to be held for the purpose of electing Directors, has been scheduled for January 31, 2025. Two (2) Member Representative Directors will be elected at the meeting. Pursuant to Article VII, Section 7.4(c) of the LLCA, the Exchange’s Member Nominating Committee has submitted the names of the following individuals as the nominees to be elected to the Member Representative Director positions:

Erica Attonito: Ms. Attonito is the Head of Sales, Business Development at Hudson River Trading where she has been responsible for launching the firm’s single dealer platform and retail wholesale business. Prior to joining HRT, she was the Managing Director, Electronic Trading Group at KCG from 2007 – 2017. While at KCG she helped to launch and grow Knight Link into a top three off-exchange pool of liquidity with 89 million shares traded daily and grew Knight Match to trading over 66 million shares daily. Ms. Attonito has extensive experience with matching logic and technology developments across U.S. equity markets. Ms. Attonito received a “Women in Finance” nomination in 2016 and in 2017.

Arianne Adams: Ms. Adams has served as the Chief Strategy Officer of Webull US since January 2024. Prior to joining Webull, Ms. Adams was most recently Senior Vice President, Head of Derivatives and Global Client Services at Cboe Global Markets, Inc. where she was responsible for the management, revenue and operations of the options and futures businesses. She also led the sales, coverage and market structure teams to identify, support, and expand the use of derivatives products globally. Prior to that, Adams worked for Goldman Sachs from 2010 to 2018 where she was Head of Electronic Options Sales. Prior to joining Goldman Sachs, Adams joined a start-up broker-dealer at Capstone Global Markets, where she was part of team that established the framework, onboarded accounts and traded for institutional asset managers. Adams started her career at Merrill Lynch, where spent nine years from 2000 to 2009 in several disciplines, including Equity Derivatives Sales Trading, Debt Capital Markets & Syndicate and Middle Markets Mergers & Acquisitions. Ms Adams attended Pennsylvania State University, where she earned a

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B.S. in Finance and Minor in Economics in 1999. She was a member of Penn State Women's Swim Team for four years and served as captain her senior year.

In accordance with the LLCA, with this Notice, Exchange Members and prospective Exchange Members are being notified of these nominees. Exchange Members may petition to have other candidates considered for election to the Board.

The Exchange hereby invites the Exchange Members to submit petitions recommending candidates for the two (2) Member Representative Director positions for consideration by the Exchange's Member Nominating Committee. For a petition to be considered valid under the LLCA, it must be accompanied by a questionnaire that provides information regarding the potential candidate – blank questionnaires may be obtained from the Exchange's Member Experience team (contact information below). The petition and questionnaire must be received by the Exchange's Secretary no later than Monday, December 23, 2024 (the "Record Date"). Such a petition must be signed by Executive Representatives of ten percent (10%) or more of the Exchange Members. No Exchange Member, together with its Affiliates, may account for more than fifty percent (50%) of the signatures endorsing a particular candidate.

If one or more valid petitions are received, Exchange Members will be notified by the Secretary of all candidates, including both the petition candidates and the above listed nominees. Exchange Members shall be entitled to vote on such candidates in an election held prior to the annual meeting of the Exchange's shareholders. If no such petitions are received by the Record Date, the nominees listed above will be nominated as Member Representative Directors by the Member Nominating Committee.

Please provide any completed petitions, along with a completed questionnaire for the candidate via email to memberx@memx.com.

Please contact the Exchange's Member Experience team with any questions regarding this Notice.