



Regulatory Notice 23-22

DATE: November 27, 2023
TO: Members of MEMX Options
FROM: MEMX Regulation
RE: MEMX Options – Options Regulatory Fee

This regulatory notice is being provided by MEMX LLC (“MEMX”) to inform Members of MEMX Options (“MEMX Options” or the “Exchange”), that effective November 24, 2023 and for the remainder of the month of November 2023 (trade dates November 24, 27, 28, 29, and 30), the Exchange will update the Options Regulatory Fee (“ORF”) as noted below as a result of the SEC issuing an Order Instituting Proceedings suspending the ORF implemented on September 27, 2023. Subject to filing with the SEC, the Exchange intends to reinstate the ORF rate of \$0.0015 per contract side effective December 1, 2023.

Options Regulatory Fee (ORF)	Fee Per Executed Contract Side
All Classes	\$0.0015 <u>through November 30,</u> <u>2023</u>

As a reminder, ORF is collected indirectly from Members through their clearing firms by the OCC on behalf of MEMX Options. The ORF will recoup but not exceed regulatory costs of the Exchange.

Contact Information

If you have any questions, please contact the MEMX Market Operations team at MOPS@memx.com or (833) 415-6677.