

## MEMX Options Member Agreement

In connection with the Applicant's application for participation in the MEMX LLC ("MEMX" or the "Exchange") Options Market ("MEMX Options") and in the event that this application is approved for participation, the Applicant hereby agrees to abide by the terms and conditions set forth below:

- A. To comply with the federal securities laws, the rules and regulations thereunder; MEMX rules including but not limited to the MEMX Options rules and the rules of other SROs that have been incorporated by reference;<sup>1</sup> all circulars, notices, directives or decisions adopted pursuant to or made in accordance with MEMX rules; and all rulings, orders, directions and decisions issued and sanctions imposed under MEMX rules;
- B. To pay such dues, assessments and other charges in the manner and amount as from time to time shall be fixed pursuant to MEMX rules;
- C. That this Agreement has been executed on behalf of, and with the authority of the Applicant. The undersigned and Applicant represent that the information and statements contained within the application and other information filed are current, true, and complete. The undersigned and the Applicant further represent that to the extent that any information submitted is not amended, such information is currently accurate and complete and agree that the information contained in the Applicant's Uniform Application for Broker-Dealer Registration (Form BD) will be kept current and accurate by proper amending of the Form BD promptly after changes occur. Applicant further represents that the registrations for the Applicant's Options Principal(s), Authorized Traders and any other personnel, registered with MEMX, will be kept current by proper amending of Form U4 & Form U5.

Member: \_\_\_\_\_

MEMX LLC

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

<sup>1</sup> In the amendment to its existing rules to permit trading on MEMX Options, MEMX LLC incorporated the following rules by reference: (1) Cboe Exchange, Inc. ("Cboe Options") rules governing position and exercise limits for equity and index options (see MEMX Rules 29.5 and 29.7); (2) the margin rules of Cboe Options or the NYSE (see MEMX Rule 28.3); and (3) FINRA's rules governing communications with the public (see MEMX Rule 26.16). Pursuant to an exemption from Section 19(b), the Exchange will not file rule filings each time an incorporated rule has been amended, but will publish on its public website notices of any changes to an incorporated rule.