

Options Market Maker Registration Application

Any currently approved Options Member of MEMX LLC (“MEMX”) or MX2 LLC (“MX2”) (each, an “Exchange”) is eligible to transact business as a Market Maker on the Exchange’s Options Market provided that the Exchange specifically authorizes the Options Member as a Market Maker. To become a Market Maker, a current Options Member is required to complete this Options Market Maker Registration Application. The Exchange may require additional information from any Applicant prior to determining whether to register such Applicant as an Options Market Maker.

To apply, please complete this Options Market Maker Registration Application and submit with all required supplementary materials through the Exchange’s User Portal or via email to membership@memx.com.

Applicants are required to update any information submitted in this application if and when it becomes inaccurate or incomplete after submission.

GENERAL INFORMATION		
Date:	CRD #:	
Name of Applicant:		
Address of Principal Office:		
City:	State:	Zip:
SECURITIES		
Estimate the number of securities in which the Applicant intends to become registered as a Market Maker:		
FINANCIAL / ADDITIONAL REQUIREMENTS		
Excess Net Capital: _____ As of Date: _____		
Attach the following:		
<input type="checkbox"/> List identifying all accounts pursuant to Exchange Rule 22.7(a) – required		
<input type="checkbox"/> List identifying all joint accounts pursuant to Exchange Rule 22.7(c) – if applicable		
<input type="checkbox"/> Subordination agreements or evidence of other financing arrangement (refer to Exchange Rule 22.9(b)) – if applicable		

Check applicable Exchanges: MEMX MX2