Options Clearing Member Restriction Form

Clearing Member Organization:

In accordance with Exchange rules, the Clearing Member listed above authorizes the Exchange to restrict The Options Clearing Corporation ("OCC") number(s) listed below requiring prior authorization for use as a Give-Up on MEMX LLC ("MEMX") and/or MX2 LLC ("MX2") (each, an "Exchange"), as applicable. The Clearing Member must indicate below the OCC number(s) to be restricted for each relevant Exchange.

NOTE: Once an OCC number is marked as restricted, no Member will be able to use that restricted OCC number unless they have either: (1) an executed clearing guarantee on file with Membership with that Clearing Member; or (2) the Member is authorized by the Clearing Member pursuant to Exchange Rule 21.12.

Instructions: To authorize or revoke a Member's use of a restricted OCC number, please enter the applicable information below and submit the completed form through the Exchange's User Portal or via email to membership@memx.com. List the restricted OCC number in column 1 and provide, if applicable, each Member that is authorized or restricted on each OCC number in column 2. If necessary, please attach additional sheets.

1	2	3	4	5	6
Restricted OCC Number	**Member Name**	**Authorized**	**Revoked**	**MEMX**	**MX2**
1					
2					
3					

By executing this Clearing Member Restriction Form, the Clearing Member grants the Exchange permission to publish the Clearing Member's restricted OCC number(s) on the Exchange's website for purposes of providing notice to other exchange members that the Clearing Member's OCC number(s) will not be available for Give Up.	
Authorized Signature:	Date:
Print Name:	Title:
Clearing Member Contact Person (to be provided to market participants seeking authorization):	
Email Address:	Phone: