

MX2 Options Member Agreement

In connection with the Applicant’s application for participation in the MX2 LLC (“MX2” or the “Exchange”) Options Market (“MX2 Options”) and in the event that this application is approved for participation, the Applicant hereby agrees to abide by the terms and conditions set forth below:

- A. To comply with the federal securities laws, the rules and regulations thereunder; MX2 rules including but not limited to the MX2 Options rules and the rules of other SROs that have been incorporated by reference;¹ all circulars, notices, directives or decisions adopted pursuant to or made in accordance with MX2 rules; and all rulings, orders, directions and decisions issued and sanctions imposed under MX2 rules;

- B. To pay such dues, assessments and other charges in the manner and amount as from time to time shall be fixed pursuant to MX2 rules;

- C. That this Agreement has been executed on behalf of, and with the authority of the Applicant. The undersigned and Applicant represent that the information and statements contained within the application and other information filed are current, true, and complete. The undersigned and the Applicant further represent that to the extent that any information submitted is not amended, such information is currently accurate and complete and agree that the information contained in the Applicant’s Uniform Application for Broker-Dealer Registration (Form BD) will be kept current and accurate by proper amending of the Form BD promptly after changes occur. Applicant further represents that the registrations for the Applicant’s Options Principal(s), Authorized Traders and any other personnel, registered with MX2, will be kept current by proper amending of Form U4 & Form U5.

Member: _____

MX2 LLC

Signature: _____

Signature: _____

Printed Name: _____

Printed Name: _____

Title: _____

Title: _____

Date: _____

Date: _____

¹ In the amendment to its existing rules to permit trading on MX2 Options, MX2 LLC incorporated the following rules by reference: (1) Cboe Exchange, Inc. (“Cboe Options”) rules governing position and exercise limits for equity and index options (see MX2 Rules 29.5 and 29.7); (2) the margin rules of Cboe Options or the NYSE (see MX2 Rule 28.3); and (3) FINRA’s rules governing communications with the public (see MX2 Rule 26.16). Pursuant to an exemption from Section 19(b), the Exchange will not file rule filings each time an incorporated rule has been amended, but will publish on its public website notices of any changes to an incorporated rule.