MEMX Exchange Data Order Form and System Description

☐ Initial Form ☐ Amended Form ☐ Add/Remove Data Feed Subscription

DATA RECIPIENT INFORMATION		
Company Name:		Date:
Address of Principal Office:		
City:	State:	Zip Code:

BILLING ADDRESS		
Address of Billing Office:		
City:	State:	Zip Code:

BUSINESS CONTACT	BILLING CONTACT
Name:	Name:
Title:	Title:
Email:	Email:
Phone:	Phone:

MARKET DATA ADMINISTRATOR	TECHNICAL CONTACT
Name:	Name:
Title:	Title:
Email:	Email:
Phone:	Phone:

CONNECTIVITY – Section A

Data Center Location: ☐ Primary – Secaucus (NY4)

Connection Type: ☐ New ☐ Existing

☐ Direct Connection (Proximity – Colocation Cross Connect)

☐ Direct Telco Connection ☐ Circuit Provider: _____

☐ Extranet Service Provider: _____

Data Center Location: ☐ Secondary – Chicago (CH1)

Connection Type: ☐ New ☐ Existing

☐ Direct Connection (Proximity – Colocation Cross Connect)

☐ Direct Telco Connection ☐ Circuit Provider: _____

☐ Extranet Service Provider: _____

CONNECTIVITY – Section B

Please identify all Vendors through which you will receive MEMX and/or MX2 Exchange Data (collectively, "MEMX Exchange Data" or "Exchange Data"):_____

DATA FEED SUBSCRIPTION / CHANGE REQUEST

Please select license and products required. For each license and product please confirm use case where relevant. User counts not necessary at this time, as they will be reported monthly.

	License	MEMOIR Data Feed	Invoice Code	Add	Remove	Subscriber Use Case	Invoice Code	Select	Additional Notes
MEMX Equities	Internal Distributor	Top	MD201	☐	☐	License covers all internal use cases			
		Last	MD301	☐	☐	License covers all internal use cases			
		Depth	MD101	☐	☐	Display users	MD101P MD101N	☐	Monthly attestations of pro/non-pro required
						Non-Display	MD103	☐	Non-display usage excluding trading platforms*
						Non-Display Trading	MD104	☐	Non-display usage for trading platforms*
	External Distributor	Top	MD202	☐	☐	Display users	MD202P MD202N	☐	Monthly attestations of pro/non-pro required
						Or Enterprise	MD205	☐	Unlimited users
						Or Digital Media	MD206	☐	Unlimited users if policy requirements are met
		Last	MD302	☐	☐	Display users	MD302P MD302N	☐	Monthly attestations of pro/non-pro required
						Or Enterprise	MD305	☐	Unlimited users
						Or Digital Media	MD306	☐	Unlimited users if policy requirements are met
		Depth	MD102	☐	☐	Display users	MD102P MD102N	☐	Monthly attestations of pro/non-pro required
						Digital Media	MD106	☐	Unlimited users if policy requirements are met
	If Externally Distributing	Controlled						☐	Data Recipient has full entitlement controls and can report all users
		Uncontrolled						☐	Data Recipient distributes to third parties and reports third parties per the MEMX Market Data Policies
MEMX Options	Internal or External Distributor	Top	MD401	☐	☐	License covers all use cases			
		Depth	MD501	☐	☐	License covers all use cases			
MX2 Options	Internal or External Distributor	Top	MD401	☐	☐	License covers all use cases			
		Depth	MD501	☐	☐	License covers all use cases			

*Non-Display Usage fees will apply for Data Recipients that use MEMOIR Equities Depth Exchange Data for one or both of the following categories of Non-Display Usage: (i) Non-Display Usage by Trading Platforms; and (ii) Non-Display Usage not by Trading Platforms. Non-Display Usage by Trading Platforms includes use of Exchange Data in an execution platform operated as or by a registered National Securities Exchange (as defined in Section 3(a)(1) of the Exchange Act), an Alternative Trading System (as defined in Rule 300(a) of

Regulation ATS), or an Electronic Communications Network (as defined in Rule 600(b)(23) of Regulation NMS). Non-Display Usage not by Trading Platforms includes trading uses such as high frequency or algorithmic trading as well as any trading in any asset class, automated order or quote generation and/or order pegging, price referencing for smart order routing, operations control programs, investment analysis, order verification and management, surveillance programs, risk management, compliance, and portfolio management.

For Reference: MEMX Market Data Policies

SYSTEM DESCRIPTION

Please provide a complete description of any/all applicable Systems that make use of MEMX Exchange Data, including the System name(s).

Name/Version of System that makes use of MEMX Exchange Data:_____

Name/Version of Entitlement System used to control access:_____

Please provide descriptions of any/all Systems that make use of MEMX Exchange Data, and applicable Entitlement System(s):

AFFILIATE DISTRIBUTION

Affiliates: Will your organization distribute MEMX Exchange Data to Affiliates*? ☐ Yes ☐ No

If yes, please complete the MEMX List of Affiliates form.

***Affiliate** shall include any entity that, from time to time, directly or indirectly controls, is controlled by, or is under common control with such party, where control means the power to direct or cause the direction of the management of policies of another entity, whether through the ownership of voting securities, by contract, or otherwise.

I certify that the information provided above is accurate.

Signature of Data Recipient Authorized Representative

Title

Printed Name

Date

MEMX Exchange Data Order Form and System Description – Data Feed Subscriber Information Addendum

DATA FEED SUBSCRIBER INFORMATION		
Company Name:		Date:
Address of Principal Office:		
City:	State:	Zip Code:
BUSINESS CONTACT	**TECHNICAL CONTACT**	
Name:	Name:	
Title:	Title:	
Email:	Email:	
Phone:	Phone:	

DATA FEED SUBSCRIBER INFORMATION		
Company Name:		Date:
Address of Principal Office:		
City:	State:	Zip Code:
BUSINESS CONTACT	**TECHNICAL CONTACT**	
Name:	Name:	
Title:	Title:	
Email:	Email:	
Phone:	Phone:	

DATA FEED SUBSCRIBER INFORMATION		
Company Name:		Date:
Address of Principal Office:		
City:	State:	Zip Code:
BUSINESS CONTACT	**TECHNICAL CONTACT**	
Name:	Name:	
Title:	Title:	
Email:	Email:	
Phone:	Phone:	

DATA FEED SUBSCRIBER INFORMATION		
Company Name:		Date:
Address of Principal Office:		
City:	State:	Zip Code:
BUSINESS CONTACT	**TECHNICAL CONTACT**	
Name:	Name:	
Title:	Title:	
Email:	Email:	
Phone:	Phone:	